



## **Horn Pond Water Treatment Plant PFAS Upgrade**

**DWSRF-12538**

**RFQ 24-09**

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### **REQUEST FOR QUALIFICATIONS**

**FOR**

### **GENERAL CONTRACTOR PREQUALIFICATION**

**(pursuant to M.G.L. c. 149, § 44D½ and 810 CMR 9.00)**

**Submission Deadline: October 31, 2023 at 11:30 AM EDT**

#### **Submit Statement of Qualifications to:**

Subject: Horn Pond Water Treatment Plant PFAS Upgrade  
City of Woburn  
Purchasing Department  
ATTN: Orazio DeLuca  
Chief Procurement Officer  
10 Common Street  
Woburn, MA 01801

**Date Issued: October 4, 2023 at 9:00 AM**

**Awarding Authority: City of Woburn, Massachusetts**

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## Part One: Request for Qualifications to General Contractors

### Section I: General Project Information

This Request for Qualifications (“RFQ”) is the first phase of a two-phase procurement process as set forth in MGL Chapter 149. The Awarding Authority through its Prequalification Committee is prequalifying firms interested in providing public General (GC) Contractor services and Trade Subcontractor services through this RFQ process. The Awarding Authority will evaluate submitted Statements of Qualifications (“SOQ”) based upon the identified evaluation criteria and points set forth in Section VI below and only those firms obtaining the required minimum points will be deemed prequalified. Only those respondents deemed prequalified will be invited to submit a bid in response to a Request for Bids (“IFB”) which will be issued in the second phase of the procurement process. The project delivery method for construction will be General Contractor under M.G.L. Chapter 149.

<b>Awarding Authority:</b>	<i>City of Woburn, Massachusetts</i>
<b>Project No.:</b>	<i>RFQ 24-09; DWSRF-12538</i>
<b>Project Name:</b>	<i>Horn Pond Water Treatment Plant PFAS Upgrade</i>
<b>Project Location:</b>	<i>5 Cove St, Woburn, MA 01801</i>
<b>Project Description:</b>	<i>The work consists of furnishing all labor, materials, equipment, and incidentals required for construction of the Horn Pond Water Treatment Plant PFAS Upgrade. The work includes all process and related mechanical upgrades, civil and site work, plumbing and HVAC improvements, and expanded SCADA, existing SCADA upgrade and electrical systems.</i>
<b>RFQ Informational Meeting (if applicable):</b>	<i>None Scheduled.</i>
<b>Optional Site Visit (if applicable):</b>	<i>None Scheduled.</i>
<b>RFQ Questions Deadline:</b>	<i>October 17, 2023 by 5:00 PM EDT</i>
<b>Response to Questions (if applicable):</b>	<i>October 24, 2023 by 5:00 PM EDT</i>
<b>Submission Deadline:</b> (for submission of SOQs in response to this RFQ)	<i>October 31, 2023 11:30 A.M. EDT</i>

<b>Submission Address:</b>	<i>City of Woburn Purchasing Department ATTN: Orazio DeLuca Chief Procurement Officer 10 Common Street Woburn, MA 01801</i>
<b>Estimated Construction Cost:</b>	<i>\$20,000,000</i>
<b>Estimated Project Duration:</b>	<i>590 Calendar Days from Notice to Proceed</i>
<b>Owner's Project Manager:</b>	<i>Weston &amp; Sampson 55 Walkers Brook Drive, Suite 100 Reading, Massachusetts 01867</i>
<b>Project Architect:</b>	<i>CDM Smith, Inc. 75 State Street, Suite 701 Boston, MA 02109 (617)452-6000</i>
<b>Project Specific Requirements (if applicable):</b>	<i>Massachusetts Drinking Water State Revolving Fund (DWSRF) Requirements DCAMM Certification (as applicable) 4.2% D/MBE Participation 4.5% D/WBE Participation</i>

## **Section II: Detailed Project Description**

*The City of Woburn, Massachusetts will be requesting bids for construction of the Horn Pond Water Treatment Plant PFAS Upgrade project. The work of this Contract is located at the Horn Pond Water Treatment Plant off Cove Street in the City of Woburn, Massachusetts. The work consists of furnishing all labor, materials, equipment, and incidentals required for construction of the Horn Pond Water Treatment Plant PFAS Upgrade. The Work includes, but is not necessarily limited to, the following:*

- 1. Demolition of Existing Well Building B and Well Building A2.*
- 2. Temporary water main bypass piping for Well A2.*
- 3. Construction of a GAC Treatment Building as an addition to the existing Treatment Support Building. Equipment to be housed within the GAC Treatment Building includes, but is not limited to, six pressure GAC vessels and ancillary valves and piping, Well B, well pump and motor, electrical equipment, and HVAC equipment. The GAC Treatment Building will be integrated into the existing security system.*
- 4. Piping modifications within the existing Water Treatment Plant Building including connections for the washwater supply, filtered water and treated water to the existing ultraviolet disinfection units.*

5. *Raw water piping modifications within the existing Treatment Support Building.*
6. *Replacement of the existing HVAC equipment for the fluoride room within the existing Water Treatment Plant.*
7. *One cast-in-place below-grade concrete reclaim tank No. 3 housing a pair of duty standby submersible recycle pumps.*
8. *A single story Well A3 replacement building, to house but is not limited to, Well A3, well pump and motor, electrical equipment, and HVAC equipment. The new Well A3 building will be integrated into the existing security system.*
9. *Upgraded and expanded SCADA system.*
10. *Yard work including new paved roadways and parking area, water mains, sanitary sewer, storm drains, site lighting and electrical distribution system modifications.*

*In accordance with M.G.L. Chapter 149, Section 44D, General Bids will be valid only when accompanied by (1) a current certificate of eligibility issued by Division of Capital Assets Management and Maintenance, showing that the General Bidder has the classification of **Sewage and Water Treatment Plants** and a capacity to perform this work and (2) an update statement summarizing the General Bidder's record for the period between the latest Division of Capital Assets Management and Maintenance certification and the date the bid is submitted.*

*Drawings, specifications, and other documents will not be available to respondents during the RFQ Phase.*

### **Section III: General Instructions**

Request for Pre-Qualification (RFQ) and Statement of Qualifications (SOQ) documents are available at the City Hall Purchasing Department located at 10 Common Street, Woburn, MA 01801 between the hours of 9:00 A.M. and 4:30 P.M., Monday through Wednesday, 9:00 A.M. and 7:00 P.M on Thursday and 9:00 A.M. and 1:00 P.M on Friday. Copies of the Contract Documents may be obtained at the Office of the Purchasing Agent's website at <http://www.cityofwoburn.com/bids>.

Statements of Qualifications (SOQ) shall be submitted to the City Purchasing Agent, Orazio DeLuca, Chief Procurement Officer, located in the Purchasing Department at City Hall, 10 Common Street, Woburn, MA 01801

In response to this RFQ, interested General Contractors are required to submit a Statement of Qualifications ("SOQ") application package as follows:

#### **A. Contents Of *Statement of Qualifications* Application Package**

The required *SOQ application package* consists of the following\*:

1. *General Contractor Statement of Qualifications Form (General RFQ Form 2) Schedules A through K of General RFQ Form 3*; all supporting documentation referenced and required therein.

\**General RFQ Form 3 - General Contractor RFQ Response Checklist* is also included for the assistance of respondent General Contractors.

#### **B. Submission Deadline: See Section I: General Information**

### **SOQ Submission Guidelines**

An original and one (1) complete copy, on a CD or flash drive, of the interested General Contractor's SOQ application package must be received by the Awarding Authority on or before the Submission Deadline as set forth in Section I, as determined by the Awarding Authority's date/time stamp. All envelopes should be mailed or delivered to:

Subject: Horn Pond Water Treatment Plant PFAS Upgrade RFQ 24-09
City of Woburn, Purchasing Office
City Hall, 10 Common Street
Woburn, Massachusetts 01801-4139

SOQ Application Packages received later than the Submission Deadline specified in Section I will not be accepted. Respondent General Contractors are cautioned to allow sufficient time for packages to be delivered. Electronic qualifications will not be accepted. The Awarding Authority shall not be responsible for SOQ Application Packages not received by the date and time set forth in Section I.

**C. Questions and Responses**

All questions regarding this RFQ should be submitted by 5:00 PM EST on October 17, 2023. Questions should be submitted by email to Orazio DeLuca at [odeluca@cityofwoburn.com](mailto:odeluca@cityofwoburn.com). Do not submit questions by phone. All responses will be posted on the Office of the Purchasing Agent's website at <http://www.cityofwoburn.com/bids> by 5:00 PM EST on October 24, 2023.

**D. RFQ Informational Meeting (if applicable)**

Not applicable.

**E. Optional Site Visit**

Not applicable.

**F. Review/Availability of Contract Documents**

Drawings, specifications, and other documents will not be available to respondent General Contractors during the *RFQ* Phase.

**G. Subcontractor Prequalification**

The Project will require prequalification of Subcontractors. If applicable, the following Subcontractors will be prequalified to submit **filed sub-bids** under a separate **RFQ** and prequalification process:

"X" Below All Trades Subject To Prequalification On The Project	Section #	Trade Category
<input checked="" type="checkbox"/>	04101	Masonry
<input type="checkbox"/>	04401	Stone
<input checked="" type="checkbox"/>	05101	Miscellaneous and Ornamental Iron
<input checked="" type="checkbox"/>	07101	Waterproofing, Dampproofing and Caulking
<input checked="" type="checkbox"/>	07201	Roofing and Flashing
<input type="checkbox"/>	08501	Metal Windows
<input type="checkbox"/>	08801	Glass and Glazing
<input type="checkbox"/>	09201	Lathing and Plastering
<input type="checkbox"/>	09301	Tile
<input type="checkbox"/>	09401	Terrazzo
<input type="checkbox"/>	09501	Acoustical Tile
<input type="checkbox"/>	09601	Marble
<input type="checkbox"/>	09701	Resilient Floors
<input checked="" type="checkbox"/>	09901	Painting
<input type="checkbox"/>	14201	Elevators
<input checked="" type="checkbox"/>	15301	Fire Protection
<input checked="" type="checkbox"/>	15401	Plumbing
<input checked="" type="checkbox"/>	15501	Heating, Ventilation & Air-Conditioning
<input checked="" type="checkbox"/>	16101	Electrical
<input type="checkbox"/>	[Section #]	Other



## H. Additional Instructions

See *Section VII: Additional Information* for additional instructions regarding the prequalification process.

### **Section IV: Overview Of Prequalification Process**

- This *Request for Qualifications* (“*RFQ*”) is issued pursuant to M.G.L. c. 149, § 44D½. Firms interested in providing General Contractor services for the construction of the project described in *Section I and Section II* (“the Project”) MUST submit a *Statement of Qualifications* (“*SOQ*”) in response to this *RFQ* to the Awarding Authority as instructed in *Section III and Section VIII*, herein.
- The General Contractor selection process for this Project is a two-phase process as set forth in M.G.L. c. 149, § 44D½. The project delivery method for construction will be under M.G.L. c. 149. The Awarding Authority is prequalifying firms interested in providing General Contractor services for the Project through this *RFQ* prequalification process.

\*\*\*\*\* **IMPORTANT NOTICES** \*\*\*\*\*

**Participation in the RFP Bidding Phase of this Project will be limited to ONLY those General Contractor firms who have submitted a *SOQ* (and required supporting documentation) in response to this *RFQ* and that have been deemed prequalified by the Awarding Authority. General Contractors that fail to respond to this *RFQ* and submit a *SOQ* with the required supporting documentation by the Submission Deadline set forth in *Section I* and General Contractors that have not been deemed prequalified by the Awarding Authority shall be automatically disqualified from bidding on this Project.**

**The Awarding Authority is prequalifying General Contractors and Prequalifying Some Subcontractors for this Project. Therefore, responses to this *RFQ* will be considered ONLY for the prequalification of General Contractors that, if deemed prequalified will be invited to submit a bid pursuant to M.G.L. c. 149, § 44E. Any contractor seeking to be prequalified as both a General Contractor and Subcontractor for a particular filed sub-bid trade(s) shall be required to file separate responses to BOTH this *RFQ* and the *RFQ* issued to prospective filed sub-bidders for this Project.**

\*\*\*\*\* **IMPORTANT NOTICES** \*\*\*\*\*

## **Section V: Administration/Schedule For Prequalification Process**

### **A. “Two-Phase” Selection Process**

Selection of the General Contractor for the Project will be conducted in a **two-phase** process as set forth in M.G.L. c. 149, § 44D½. General Contractor firms must first be prequalified in the *Phase One – RFQ/Prequalification Phase* in order to bid on the Project in *Phase 2 – RFP/Bidding Phase*.

#### **1. *Phase One – RFQ/Prequalification Phase***

- **Submission Of *Statement Of Qualifications (“SOQ”)*** - Interested General Contractor firms must submit a completed SOQ; completed *Schedules A through K*, and all required supporting documentation referenced therein in response to this RFQ by the *Submission Deadline* set forth in *Section I*.
- **Prequalification Committee** –The Awarding Authority has appointed a *Prequalification Committee* to review and evaluate the SOQs (and supporting documentation) submitted by interested General Contractors. The *Prequalification Committee* shall consist of two (2) representatives from the Project Designer and five (5) representatives from the Awarding Authority.
- **Evaluation By Prequalification Committee** - The *Prequalification Committee* shall meet as necessary to evaluate and review the SOQs (and supporting documentation) submitted by each respondent General Contractor firm in accordance with the evaluation criteria set forth in *Section VI*.
- **Notice To Respondent General Contractors** – The Awarding Authority anticipates concluding the RFQ evaluation and review process within the time set forth in *Section V(B) herein*. Upon completion of the evaluation and review process described herein, the *Prequalification Committee* shall provide written notice to all respondent General Contractors as to whether they are deemed prequalified or not. Prequalified General Contractor firms shall also be invited to participate in *Phase Two*, the RFP/Bidding Phase of the General Contractor selection process.

**2. Phase Two – RFP/Bidding Phase**

- General Contractor firms determined in *Phase One* by the *Prequalification Committee* to be prequalified will be invited to bid on the Project. **Only firms deemed prequalified during Phase One – RFQ/Prequalification Phase will be permitted to participate in Phase Two, the RFP/Bidding Phase. Firms that are not prequalified by the Prequalification Committee and firms that do not participate in the RFQ phase will be precluded from participating in Phase Two - the RFP Bidding/Phase.**

**B. Anticipated Schedule For Prequalification/Bidding**

<b>Deadline for Submission of SOQs</b>	<b>October 31, 2023</b>
<b>Anticipated Completion of Prequalification Evaluations:</b>	<b>November 14, 2023</b>
<b>List of Prequalified Bidders and Bid Advertisement to Appear in Central Register:</b>	<b>November 22, 2023</b>
<b>Open Filed Sub-Bids</b>	<b>December 20, 2023</b>
<b>Open General Bids</b>	<b>January 22, 2024</b>
<b>Anticipated Notice To Proceed:</b>	<b>February 2024</b>
<b>Anticipated Construction Schedule:</b>	<b>590 Calendar Days from Notice to Proceed</b>

## **Section VI: Evaluation Procedure/Criteria For Prequalification Selection**

### **A. Sources of Information Considered**

Respondent General Contractors must submit documentation for the four (4) statutory evaluation criteria categories listed herein. Prequalification will be based on the submitted information and materials as well as information on prior project performance, information obtained from references, information obtained from governmental agencies and entities, information contained within DCAMM's certification files, and such other information as may be obtained relating to the evaluation criteria categories. The Prequalification Committee will also give consideration to the evaluation provided by any member of the Committee who has had direct experience with the Respondent Contractor. The *Prequalification Committee* may also request and review additional information as necessary to clarify or supplement the information provided to or obtained by the Awarding Authority.

Do not include superfluous material. You must include *the General Contractor Statement of Qualifications Form, General RFQ Form 3 and Schedules A through K* attached hereto. You must give complete and accurate answers to all questions and provide all of the information requested. Making a materially false statement in this SOQ submission is grounds for rejection and debarment.

### **B. Evaluation Procedure**

As set forth herein and in accordance with M.G.L. c. 149, § 44D½ and 810 CMR 9.00, the Awarding Authority has established a *Prequalification Committee* for the purpose of reviewing and evaluating responses to this *RFQ*. The *Prequalification Committee* shall evaluate interested General Contractors based on the evaluation criteria set forth herein and assign points for each evaluation criterion category and subcategory provided herein. The *Prequalification Committee* shall prepare a written evaluation score form for each respondent General Contractor that provides a composite point rating and a specific point rating for each of the evaluation criterion set forth in M.G.L. c. 149 and herein. The *Prequalification Committee* shall only prequalify those General Contractor firms that have achieved the minimum points required in each category set forth herein and a minimum total score of seventy (70) points.

***Only General Contractor firms achieving the minimum score required in each evaluation category set forth herein, as well as a minimum total score of seventy (70) points overall shall be prequalified and invited to submit bids consistent with M.G.L. c. 149, § 44E. An interested General Contractor's score shall be made available to the General Contractor upon request.***

The decision of the *Prequalification Committee* shall be final and shall not be subject to appeal except on grounds of fraud or collusion.

### C. Criteria For Prequalification

SOQs must be submitted on the *GC RFQ Form 2* attached hereto. Interested General Contractors submitting a SOQ and supporting information in any other form will not be prequalified. The *Prequalification Committee* shall review and evaluate the information submitted by interested General Contractors in accordance with the statutory point scheme set forth in M.G.L. c. 149, § 44D½. Also in accordance with § 44D½, the RFQ shall set forth the available points for each evaluation sub-category in order to provide interested General Contractors prior notice of the points available in each sub-category. Joint ventures must provide information about each of the joint venture partners.

**1. Management Experience - (50 points available in this category; minimum of 25 points required in this category for prequalification approval)**

- a. **Business Owners:** Provide the name, title, including a detailed description of the role and job responsibilities, scope of work and numbers of years with the firm for each of the business owner(s) of the firm. If the respondent General Contractor is a partnership, **YOU MUST** provide the requested information for each general and limited partner. If the respondent General Contractor is a corporation or limited liability company, **YOU MUST** provide the requested information for each officer, director and/or member. (5 points available)
- b. **Management Personnel:** Provide the name, title, including a detailed description of the role and job responsibilities, scope of work, education, construction experience, years with the firm and list of all projects completed for all management personnel who will have any direct or indirect responsibility over the Project, including but not limited to project executives, project managers, field superintendents and field engineers. (10 points available)
- c. **Similar Project Experience:** Provide the project name(s), description, scope of work, original contract sum, final contract sum with explanation, and date of completion for each and every similar project undertaken by the firm in the last 10 years. For purposes of this RFQ, "similar projects" shall mean Sewage and Water Treatment Plants. (15 points available)

- d. **Terminations:** Provide a list of any projects on which the firm was the General Contractor and was terminated, held in default, or failed to complete the work within the last ten (10) years. Include the name of the project, the timeframe of the project and circumstances surrounding the termination or default.  
(10 points available)
- e. **Legal Proceedings:** Provide information regarding each and every legal proceeding, administrative proceeding and arbitration pending against the General Contractor. In addition, provide information regarding each and every legal proceeding, administrative proceeding or arbitration concluded adversely to the General Contractor within the past five (5) years, which relate to the procurement or performance of any public or private construction contract.  
(5 points available)
- f. **Safety Record:** Provide the three (3) year history of the General Contractor's workers' compensation experience modifier. In addition, provide documentation from the General Contractor's insurance carrier supporting the rating history provided. (2 points available)
- g. **MBE/WBE and Workforce Compliance Record:** Provide information and evidence of the General Contractor's compliance record with respect to Minority Business Enterprise and Women Business Enterprise goals and workforce inclusion goals for all projects completed which had such goals. (Note: Awarding Authority may elect to limit reporting time period.) (3 points available)

2. **References - (30 points available in this category; minimum of 15 points required in this category for prequalification approval)**

- a. **Project References:** Provide reference information for owners and architects for each and every project listed in your response to *Section VI(C)(1)(c)*. Information provided shall at least include project name and the names of the owners and architects, with current address, current telephone and fax numbers, and contact person for each. Note: The Prequalification Committee may also consider project reference information and project evaluations obtained from the Update Statement, DCAMM's certification files and information provided in response to *Subsection 2(c)* herein. (15 points available)

- b. *Credit References:*** Provide a minimum of five (5) credit references, including the telephone and fax numbers of a contact person from key suppliers, vendors and banks. *A credit reference letter from the bank is required by the SOQ deadline, it must be included with the SOQ.* *(5 points available)*
  - c. *Public Project Record:*** Provide a list of all completed public building construction projects (as defined by M.G.L. c. 149, § 44A) worked on during the past three (3) years with the project name, scope of work, contract value, start date, completion date, status of the project, owner's name (including address, telephone number, fax number, and contact person) and architect's name (including address, telephone number, fax number and contact person). *(10 points available)*
- 3. *Capacity to Complete Projects - (20 points available in this category; minimum of 10 points required in this category for prequalification approval)***
- a. *Audited Financial Statement:*** Submit an audited financial statement for the most recent fiscal year. The financial information submitted shall remain confidential and shall not be a public record. *(10 points)*
  - b. *Revenue:*** Submit revenue under contract for the next three (3) years. *(10 points)*
- 4. *Mandatory Requirements - (no points assigned)***
- a. *Bonding Capacity:*** Interested General Contractors must provide a commitment letter (from a surety company licensed to do business in the Commonwealth of Massachusetts and whose name appears on the United States Treasury Department Circular 570) for payment and performance bonds in an amount equal to or greater than one hundred percent (100%) of the estimated construction cost for Project as set forth in *Section I*.
  - b. *DCAMM Certification:*** Interested General Contractors must provide a currently valid *Certificate of Eligibility* issued by DCAMM to the General Contractor pursuant to M.G.L. c. 149, § 44D, showing a single and aggregate capacity rating sufficient for the Project.

- c. **Update Statement:** Interested General Contractors must provide a current and completed Update Statement prepared by the interested General Contractor.

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## **Section VII: Additional Information**

### **A. Status Of Request For Qualifications**

This *RFQ* is solely a request for information. It does not represent an offer nor does it confer any rights on any respondent General Contractor. The Awarding Authority shall not be responsible under any circumstances for any costs incurred by any respondent General Contractors to this *RFQ*. The Awarding Authority reserves the right to cancel this procurement at any time if it is in its the best interest to do so.

### **B. Treatment Of Information Submitted**

With the exception of the required audited financial statements, the Awarding Authority shall have no obligation to treat any information submitted by an interested General Contractor in or in connection with a *SOQ* as proprietary or confidential unless the Awarding Authority determines that the information legitimately requires such treatment. In such case, the Awarding Authority's obligation with respect to protection and disclosure of such information shall at all times be subject to applicable laws. The Awarding Authority shall have the right to use all or portions of the *SOQ*, as it considers necessary or desirable in connection with the Project. By the submission of a *SOQ*, the respondent General Contractor thereby grants to the Awarding Authority an unrestricted license to use the *SOQ*, including all materials submitted therewith, in connection with the project.

### **C. Communication Between Awarding Authority and Respondent General Contractors**

Unauthorized communications or contact between General Contractors, their employees, agents or other related entities interested in submitting a *SOQ* and the Awarding Authority, the project designer, the project manager, or any other person or entity participating on the Prequalification Committee with regard to the Project are strictly prohibited. The only authorized communications shall be 1) inquiries to the Awarding Authority for general information about obtaining the *RFQ*, *RFQ* submission deadlines, and the existence of any relevant addenda to the *RFQ*; and 2) inquiries made at the official Pre-*RFQ* Submission meeting held by the Awarding Authority. If applicable, the official Pre-*RFQ* Submission Meeting will be held at the date and time set forth in *Section I*.

Any issues brought to the Awarding Authority's attention at the Pre-*RFQ* Submission meeting, which the Awarding Authority determines

will require additional clarification will be addressed by issuing a written addendum.

Oral and other clarifications will be without legal effect. All such addenda will be considered part of this RFQ, and the respondent General Contractor shall be required to acknowledge receipt of all addenda on the RFQ Proposal Response Form attached to this RFQ. The Awarding Authority will post to the following website, <http://www.cityofwoburn.com/bids> all addenda which will be available to all respondent General Contractors that have downloaded the RFQ directly from <http://www.cityofwoburn.com/bids>. It shall be the sole responsibility of the respondent General Contractor to ascertain the existence of any and all addenda issued by the Awarding Authority.

From the date of issuance of this RFQ, any respondent General Contractor that contacts directly or indirectly any member or employee of the Awarding Authority, or the project designer, or the project manager, or any member of the *Prequalification Committee* in connection with the selection process or the contract contemplated herein for this project is subject to disqualification. Contact is permitted for the purpose of obtaining a copy of this RFQ or to submit a verbal or written question or request for clarification at the Pre-RFQ Submission meeting as set forth in *Section I*.

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**Part Two: Forms to Be Completed By General Contractor**

**RFQ Interest Form**

**GC RFQ Form 1**

**(For Submission to Awarding Authority Immediately)**

**Instructions: If respondent General Contractor firm is interested in responding to the RFQ for Prequalification of General Contractors for this Project then General RFQ Form 1 MUST be submitted to the Awarding Authority BEFORE submitting the SOQ Application General RFQ Form 3.**

<b>Awarding Authority:</b>	<i>City of Woburn, Massachusetts</i>
<b>Project No.:</b>	<i>RFQ 24-09; DWSRF-12538</i>
<b>Project Name:</b>	<i>Horn Pond Water Treatment Plant PFAS Upgrade</i>

Mail or Fax this *RFQ Interest Form* to<sup>1</sup>:

<i>Subject: Horn Pond Water Treatment Plant PFAS Upgrade, RFQ #24-09</i>
<i>Purchasing Department</i>
<i>City Hall, 10 Common Street</i>
<i>Woburn, MA 01801-4139</i>
<i>Fax: (781) 897-5954</i>

By submitting this *GC RFQ Interest Form* the below identified firm is expressing its interest in the above- referenced public building construction project and is requesting that it be added to the list of firms that will receive any addenda to the *RFQ* on the Project. ***The Awarding Authority assumes no responsibility for a firm's failure to receive any addenda or other correspondence related to this RFQ due to the firm's failure to submit an RFQ Interest Form as directed above or for any other reason.***

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<sup>1</sup> Note: The Statement of Qualifications ("SOQ") application form (GC RFQ Form 2) and accompanying *Schedules A through K*, submitted in response to this RFQ **MAY NOT BE FAXED.**

**Statement of Qualifications Application for General Contractors  
GC RFQ Form 2**

**Note:** See Sections III and VII of Part One, the RFQ for this Project, for instructions on completing this Statement of Qualifications and accompanying Schedules A through K.

<b>Project Name:</b>	Horn Pond Water Treatment Plant PFAS Upgrade
<b>Project No:</b>	RFQ 24-09; DWSRF-12538
<b>Awarding Authority:</b>	City of Woburn, Massachusetts
<b>General Contractor Name:</b>	
<b>General Contractor Mailing Address:</b>	
<b>General Contractor Street Address:</b>	
<b>Telephone Number:</b>	
<b>Facsimile Number:</b>	
<b>Contact Person/Title:</b>	

1. **Management Experience - (50 points available; minimum of 25 points required for prequalification approval)**
  - a. **Business Owners (5 points):** Interested General Contractors **MUST COMPLETE Schedule A** and **MUST ATTACH** to it a resume for each and every business owner of your firm as set forth in *Section VI(C)(1)(a)* of *Part One*, the RFQ for this Project.
  - b. **Management Personnel (10 points):** Interested General Contractors **MUST COMPLETE Schedule B** and **MUST ATTACH** to it a resume for each and every person who will have **any** management responsibility, direct or indirect, for the Project, including, but not limited to, project executives, project managers, field superintendents and field engineers, as set forth in *Section VI(C)(1)(b)* of *Part One*, the RFQ for this Project.
  - c. **Similar Project Experience (15 points):** Interested General Contractors **MUST COMPLETE Schedule C** and list similar projects for the last [*Awarding Authority To Set Reporting Time Period*] years. For each project, you must include the name, description of project, description of your firm's scope of work, original contract sum, final contract sum (with explanation) and date completed. For the purpose of this RFQ, "similar projects" shall be defined as defined in *Section VI(C)(1)(c)* of *Part One*, the RFQ for this Project.

- d. **Terminations (10 points):** Interested General Contractors **MUST COMPLETE Schedule D** and list each and every project on which your firm was terminated or failed to complete the work as set forth in *Section VI(C)(1)(d)* of *Part One*, the *RFQ* for this Project.
- e. **Legal Proceedings (5 points):** Interested General Contractors **MUST COMPLETE Schedule E** and list any and all legal proceeding or administrative proceeding or arbitration currently pending against your firm. Interested General Contractors must also list each and every legal proceeding or administrative proceeding or arbitration concluded adversely against your firm within the past five (5) years as set forth in *Section VI(C)(1)(e)* of *Part One*, the *RFQ* for this Project.
- f. **Safety Record (2 points):** Interested General Contractors **MUST COMPLETE Schedule F** and provide the three (3) year history of its workers' compensation modifier rating as set forth in *Section VI(C)(1)(f)* of *Part One*, the *RFQ* for this Project, and **MUST ATTACH** to **Schedule F** documentation from its insurance carrier supporting the ratings reported therein or no points may be awarded.
- g. **MBE/WBE and Workforce Compliance Record (3 points):** Interested General Contractors **MUST COMPLETE Schedule G** and provide copies of documents providing evidence of the firm's compliance record with respect to Minority Business Enterprise and Women's Business Enterprise participation goals and workforce inclusion goals for each and every project completed that had a contractual MBE/WBE participation goal or minority and women workforce goals as set forth in *Section VI(C)(1)(g)* of *Part One*, the *RFQ* for this Project. In addition, interested General Contractors **MUST ATTACH** documentation supporting the actual participation and inclusion amounts reported in **Schedule G**.
2. **References - (30 points available; minimum of 15 points required for prequalification approval)**
- a. **Project References (15 points):** Interested General Contractors **MUST COMPLETE Schedule H** and provide project references from owners and architects for all projects as required in *Section VI(C)(2)(a)* of *Part One*, the *RFQ* for this Project.
- b. **Credit References (5 points):** Interested General Contractors **MUST COMPLETE Schedule I** and provide a minimum of five (5) credit references as required in *Section VI(C)(2)(b)* of *Part One*, the *RFQ* for this Project.
- c. **Public Project Record (10 points):** Interested General Contractors **MUST COMPLETE Schedule J** and list all completed public building construction projects completed by your firm during the past three (3) years as required in *Section*

VI(C)(2)(c) of *Part One*, the RFQ for this Project.

3. **Capacity to Complete Project - (20 points available; minimum of 10 points are required for prequalification approval)**

- a. **Audited Financial Statement (10 points):** Interested General Contractors **MUST ATTACH** to the *GC RFQ Form 2* an audited financial statement for the most recent fiscal year (may be submitted in a sealed envelope). (Note: whether submitted in a sealed envelope or not, such audited financial statements shall not be considered public records).
- b. **Revenue Under Contract (10 points):** Interested General Contractors **MUST COMPLETE Schedule K** and list revenue under contract for the next three (3) fiscal years. Such financial information shall not be considered public records.

4. **Mandatory Requirements: (no points are assigned)**

- a. **Payment and Performance Bonds:** Interested General Contractors **MUST ATTACH** to the *GC RFQ Form 2* a commitment letter (from a surety company licensed to do business in the Commonwealth of Massachusetts and whose name appears on United States Treasury Department Circular 570) for payment and performance bonds in an amount equal to or greater than one hundred percent (100%) of the estimated construction cost of this Project.
- b. **Certificate of Eligibility:** Interested General Contractors **MUST ATTACH** to the *GC RFQ Form 2* a currently valid *Certificate of Eligibility* (issued by DCAMM) showing a single and aggregate capacity rating sufficient for the Project.
- c. **Update Statement:** Interested General Contractors **MUST ATTACH** to the *GC RFQ Form 2* a current and completed *Update Statement*.

5. **Execution Requirements**

a. **RFQ Response Checklist:** Before signing and submitting its SOQ application package for this Project, interested General Contractors are advised to carefully review the *RFQ Response Checklist – GC RFQ Form 3*.

**Acknowledgement of Addenda.** By signing below, the interested General Contractor **acknowledges receipt of the following addenda** to this RFQ:

**Insert Addenda #:** \_\_\_\_\_

b. **Incomplete or Inaccurate Information:** Failure to accurately and completely provide the information requested may result in the disqualification.

c. **Authorization to Sign:** This form MUST be signed by an officer of the firm or an individual so authorized by an officer of the firm who has personal knowledge regarding the information contained herein.

d. **Debarment Status:** By signing below, the interested General Contractor certifies that it is not currently debarred from performing public work for the Commonwealth of Massachusetts or the Federal Government.

***SIGNED UNDER THE PAINS AND PENALTIES OF PERJURY:***

Signature: \_\_\_\_\_

Print Name:
Title:
Telephone:
Date:

**Firm Name:** \_\_\_\_\_

**SCHEDULE A – BUSINESS OWNERS:** Interested General Contractor **MUST** provide the following information and attach a copy of the resume for each and every business owner of the firm in accordance with Section VI(C)(1)(a) of *Part One*, the *RFQ* for this Project.

NAME	TITLE	ROLE/JOB RESPONSIBILITIES/ SCOPE OF WORK	# OF YEARS W/FIRM	EDUCATION/EXPERIENCE



**Firm Name:** \_\_\_\_\_

**SCHEDULE B – MANAGEMENT PERSONNEL:** Interested General Contractors **MUST** provide the following information and attach a copy of the resume for each and every person who will have any direct or indirect management responsibility for the Project, including but not limited to, project executives, project managers, project superintendents, etc. in accordance with Section VI(C)(1)(b) of *Part One*, the *RFQ* for this Project.

NAME	TITLE	ROLE/JOB RESPONSIBILITIES/ SCOPE OF WORK	# OF YEARS W/FIRM	EDUCATION/EXPERIENCE	COMPLETED PROJECTS

**Firm Name:** \_\_\_\_\_

**SCHEDULE C - SIMILAR PROJECT EXPERIENCE:** Interested General Contractor **MUST** list all similar projects your firm has completed during the last [Awarding Authority to Set Reporting Time Period]. For the purpose of this *RFQ* “similar projects” shall be as defined in *Section VI(C)(1)(c) of Part One*, the *RFQ* for this Project.

PROJECT NAME & LOCATION	PROJECT OWNER	PROJECT DESCRIPTION AND SPECIFIC SCOPE	ORIGINAL AND FINAL CONTRACT AMOUNT AND EXPLANATION	DATE COMPLETED (M/D/YYYY)

**Firm Name:** \_\_\_\_\_

**SCHEDULE D - TERMINATIONS:** Interested General Contractors are required to list each and every project on which it was terminated or failed to complete the project as set forth in *Section VI(C)(1)(d) of Part One*, the RFQ for this Project.

PROJECT NAME & LOCATION	SCOPE OF WORK PERFORMED	CONTRACTED WITH	START & END DATES	ESTIMATED CONTRACT AMOUNT	% COMPLETE	REASON FOR TERMINATION

**Firm Name:** \_\_\_\_\_

**SCHEDULE E - LEGAL PROCEEDINGS:** Interested General Contractors are required to list each and every legal proceeding, administrative proceeding or arbitration currently pending and each and every legal proceeding, administrative proceeding and arbitration concluded adversely against it within the past five (5) years as set forth in *Section VI(C)(1)(e)* of *Part One*, the *RFQ* for this Project.

PROJECT NAME & LOCATION	PROJECT OWNER	DESCRIPTION OF LEGAL PROCEEDING (include caption of case, parties, location of proceeding, description of the dispute or enforcement action and status and/or outcome)

**Firm Name:** \_\_\_\_\_

**SCHEDULE F – SAFETY RECORD:** Interested General Contractors are required to provide the three (3) three year history of its workers' compensation experience modifier and attached documentation from its insurance carrier supporting the ratings reported herein as set forth in *Section VI(C)(1)(f) of Part One*, the *RFQ* for this Project.

YEAR	WORKERS' COMP. EXPERIENCE MODIFIER	COMMENTS

**Firm Name:** \_\_\_\_\_

**SCHEDULE G – MBE/WBE and WORKFORCE COMPLIANCE RECORDS:** Interested General Contractors are required to list each and every project completed within the time frame set forth in *Section VI(C)(1)(g) of Part One*, the RFQ for this Project, that had contractual MBE/WBE participation goals or minority and women workforce goals. For the actual participation or workforce inclusion amounts listed, you must attach documentation from the owner supporting the amount reported. In addition, if the goals were not met, then you must explain why and indicate whether any sanctions or penalties were imposed.

PROJECT NAME, LOCATION & AWARDS AUTHORITY	CONTRACT VALUE	MBE GOAL	ACTUAL MBE PARTICI-PATION	WBE GOAL	ACTUAL WBE PARTICI-PATION	WORK-FORCE GOALS	ACTUAL WORK-FORCE PARTICI-PATION	IF GOALS NOT MET EXPLAIN WHY	SANCTION OR PENALTY AND AMOUNT

**Firm Name:** \_\_\_\_\_

**SCHEDULE H - PROJECT REFERENCES**: Interested General Contractors are required to list references for prior work your firm has performed as set forth in *Section VI(C)(2)(a) of Part One*, the RFQ for this Project.

PROJECT TITLE	COMPANY NAME	CONTACT PERSON/ADDRESS	TELEPHONE#	FAX#
	OWNER: DESIGNER:			
	OWNER: DESIGNER:			
	OWNER: DESIGNER:			
	OWNER: DESIGNER:			
	OWNER: DESIGNER:			

**Firm Name:** \_\_\_\_\_

**SCHEDULE I - CREDIT REFERENCES:**

Interested General Contractors are required to list a minimum of five (5) credit references from banks, suppliers and/or vendors as set forth in *Section VI(C)(2)(b) of Part One*, the RFQ for this Project.

CHECK ONE	COMPANY NAME	CONTACT PERSON	TELE#	FAX#
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				



**Firm Name:** \_\_\_\_\_

**SCHEDULE J - PUBLIC PROJECT RECORD:** – Interested General Contractors are required to list all completed public buildings during the past three (3) years in accordance with Section VI(C)(2)(c) of *Part One*, the *RFQ* for this Project. (You may attach additional pages if necessary).

<b>PROJECT INFORMATION</b>	<b>CONTACT INFORMATION</b> Provide business and contact name, address, telephone and fax
<b>PROJECT NAME:</b> <b>CONTRACT VALUE:</b> <b>SCOPE:</b> <b>START DATE:</b> <b>FINISH DATE:</b>	<b>AWARDING AUTHORITY:</b>  <b>DESIGNER:</b>
<b>PROJECT NAME:</b> <b>CONTRACT VALUE:</b> <b>SCOPE:</b> <b>START DATE:</b> <b>FINISH DATE:</b>	<b>AWARDING AUTHORITY:</b>  <b>DESIGNER:</b>
<b>PROJECT NAME:</b> <b>CONTRACT VALUE:</b> <b>SCOPE:</b> <b>START DATE:</b> <b>FINISH DATE:</b>	<b>AWARDING AUTHORITY:</b>  <b>DESIGNER:</b>

**Firm Name:** \_\_\_\_\_

**SCHEDULE K – REVENUE UNDER CONTRACT:** – Interested General Contractors are required to list revenue under contract for next three (3) fiscal years in accordance with Section VI(C)(3)(b) of *Part One*, the *RFQ* for this Project.

Firm's fiscal year runs \_\_\_\_\_ to \_\_\_\_\_.

YEAR	REVENUE UNDER CONTRACT (\$)

### **RFQ RESPONSE CHECKLIST - GC RFQ Form 3**

**NOTE: LATE APPLICATIONS FOR PREQUALIFICATION WILL NOT BE CONSIDERED. THEREFORE, BEFORE SUBMITTING A RESPONSE TO THIS RFQ, PLEASE REVIEW THE FOLLOWING:**

- Did you fax or mail the RFQ Interest Form (GC RFQ Form 1) to the Awarding Authority?
- Did you complete the entire SOQ Form (GC RFQ Form 2)?
- Did you fully complete *Schedules A through K*?
- Did you attach the resumes of owners and management personnel identified in your responses to *Schedule A* and *Schedule B*?
- Did you attach the required documentation from your insurance company supporting the workers' compensation modifier history you reported in *Schedule F*?
- Did you attach the required documentation supporting the MBE/WBE and Workforce Compliance record you reported in *Schedule G*?
- Do you have the current contact information for all of the references you reported in *Schedule H*, *Schedule I* and *Schedule J*?
- Did you attach an audited financial statement as required in *Section 3(a)* of *Part Two*, GC RFQ Form 2?
- Did you attach a commitment letter for payment and performance bonds as required in *Section 4(a)* of *Part Two*, GC RFQ Form 2?
- Did you attach a currently valid DCAMM *Certificate of Eligibility* as required in *Section 4(b)* of *Part Two*, GC RFQ Form 2?
- Did you attach a completed and signed *Update Statement* as required in *Section 4(c)* of *Part Two*, GC RFQ Form 2?
- Did you include the original and all required copies of your entire SOQ application package?
- Did you address the SOQ envelop correctly (i.e. to reference the Project and other required information set forth herein)?
- Did you review all of the Execution Requirements before signing the SOQ application form?
- Is the person who signed the SOQ application form authorized to do so and did his or her correct and current contact information?